Dynamic Interfaces and Arrangements: An algebraic framework for interacting systems

David I. Spivak





Active Inference Institute 2022 / 09 / 13

Outline

- 1 Introduction
 - Applied category theory in living form
 - Morphogenesis of healthy systems
 - Today's talk
- 2 The current dynamic arrangement
- Algebraic theory of interfaces and arrangements
- 4 Applications: Circuits, deep learning, and biology
- 5 Conclusion

Why am I here?

I'm here seeking a valuable exchange of ideas.

- Active inference offers a compelling but unusual worldview.
- But it meshes quite well with my own intuition,...
- ...which I've gotten from applied category theory.
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Today I want to tell you about ACT, specifically in reference to interfaces.

- We interact through our interfaces (Markov blankets?).
- What sort of algebra could support us in thinking about this?

Mathematical fields as accounting systems

I think of mathematical fields as accounting systems.

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- Hilbert spaces account for the states of elementary particles, as in QM.
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Category theory is the accounting system for coherent structures.

- It makes analogies—similarities of structure—into formal objects.
- It's been useful in math, CS, physics, materials science, linguistics, etc
- What sort of system accounts for dynamic interaction?

Driving question: what do we have here?

What I want to account for is the incredible world we have.

- On earth we have amazing forms of life, from cells to humans.
- We have the built world, from transportation systems to computers.
- We have language and a systematic presentation of knowledge.
- We have morality, rules of thumb for living a good life.
- Each of these evolved through the push and pull and struggle of living.

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What are these systems and how do they develop?

- How can we talk cleanly about all these systems at once?
- What language is appropriate for giving accounts of it in action?
- Can we use the same language to engineer new systems?
- And what constitutes health, giving the system a sense of direction?

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The only part of this I'll discuss today is a potential accounting system.

My subject today is dynamic interaction.

- Morphology and behavior are all about systems interacting.
- The interaction structure itself changes through time.
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- Let me pause for feedback:
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We'll mostly ignore this self-reflective character, but it's hanging around.

Compressed theory and experimental feedback

I think compression and elaboration play a big role in the story.

- We compress our past into a form we can elaborate in a present.
- DNA compresses who died and who thrived into a language (ACGT).
- But theory is also a compression of past experience into language.
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Experiment and theory exist in both the biological and mathematical fields.

- Experimentation in math is attempts to articulate and compute.
- We value formalism F if it makes expression and computation *easy*.
- What is XIV * VI? 14 * 6 = (10 * 6) + (4 * 6) = 84. Ans: LXXXIV.
- Hindi-Arabic numerals are *empirically better* than Roman numerals.

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That's the sort of value claim I'm making about what I'll discuss today.

Plan for today's talk

The rest of today's talk will be in four parts:

- Give a presently-available case of what I'm trying to model.
- Whirlwind tour of what the math actually looks like.
- Talk about existing applications and open questions.
- Conclude with a summary.

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- Introduction
- 2 The current dynamic arrangement
 - Summary of dynamic arrangements
 - Interfaces
 - Arrangements
- Algebraic theory of interfaces and arrangements
- 4 Applications: Circuits, deep learning, and biology
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This zoom call as a dynamic arrangement

We're here on a call together. How can we begin thinking about this?

- Let's break it into three structures: interfaces, dynamics, interaction.
- Each one of us has an interface: what we can express and take in.
- Each one of us has dynamics: our internal state and how it updates.
- Between us there is an interaction: how we affect each other.

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We draw boundaries around stuff, modularizing by nested reference frames.

- We have littler systems interacting within larger systems.
- This can be said of atoms and molecules, organizations and societies.

The math here will not be numerical: it will be *structural*.

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But before the math, let's make sure we understand our principal subject.

Our interfaces

The math should describe things we work with: like cells, tadpoles, and us.

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- But we need to ground this in something you can think about.
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So how do we think about ourselves here in this zoom call?

- Each of us can *do* certain things and *receive* certain things.
- What we can outwardly express and take in defines our *interface*.
- I'll call these *positions* and *forces*.
- Consider any expression, e.g. sound or attitude, as a kind of position.
- Your inner states are reflected outwardly as these positions.
- The world impinges on you, directs you, moves you by forces.

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What your body can receive in a moment depends on its position.

- When your eyes are open, your sensorium is bigger; more acts on you.
- When the car goes through a tunnel, the GPS stops receiving.

Our zoom arrangement and the enclosure

So here's the story so far: you output positions and receive forces.

- The force, sensation, input you receive changes your internal state, ...
- ...reflected outwardly as a new position, and a new sensorium opens.
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- Zoom arranges us so that my outputs get to you as inputs.
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The way this works is based on the *arrangement* that we call zoom.

- This program arranges it so that we can input each others' outputs.
- Our interaction with the program may change the arrangement.
- E.g. spotlight, mute, etc., each changes how info is passed.

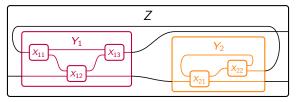
Outline

- 1 Introduction
- The current dynamic arrangement
- 3 Algebraic theory of interfaces and arrangements
 - Interfaces as polynomials
 - Arrangements and dynamics
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Recalling all the keywords we'll use

Interfaces, positions, forces, states, arrangements, enclosures, nesting.

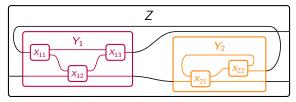
- We each have an *interface*; it's that through which we interact.
- Our interface allows us to express ourselves through our *position*.
- Given a position, our interfaces allows us to receive certain *forces*.
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- Multiple interfaces interact together via their current arrangement.
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Arrangements can change through time based on what flows within them.

As we said, an interface consists of two things.

- First, a set P of positions. Maybe $P = \{a, b, c\}$ or $P = \mathbb{R}^{44}$.
- Second, for each position $i \in P$, a set F[i] of forces.
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Positions are the sum indices $i \in P$; sensoria are the exponents F[i].

- So imagine the interface is $y^5 + 62y^3 + 2y^0$. It has: ...
- ...1 pos'n with 5 possible inputs, 62 pos'ns with 3, and 2 with 0.
- What about $\mathbb{R}^3 y^{\mathbb{R}^{1,000,000}}$? It has \mathbb{R}^3 positions,...
- ...and in every one, its sensorium is $\mathbb{R}^{1,000,000}$.

Why polynomials?

So why do this craziness? Because the polynomial operations mean things.

- We have lots of operations: p + q, $p \times q$, $p \circ q$, $p \otimes q$, $p \vee q$, [p,q].
- You've heard of the first three, but probably not the second three.
- They all mean something in terms of things you can do to interfaces.

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Semantics of polynomial operations

Suppose p and q are polynomials representing interfaces. What's p + q?

- Well, p + q is another polynomial, so it represents a new interface.
- Namely: that which can output a position of p or of q.
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- **Example:** $p = 3y^5 + 2y^4$ and $q = 6y^5 + y^3 + y^0$. What's p + q?

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What interface does the product $p \times q$ represent?

- It always outputs both a position $i \in p(1)$ and $j \in q(1)$, but...
- \blacksquare ...an input at (i,j) is either an input of p or of an input of q.
- **Example:** $p = 5y^4$ and $q = 6y^3$. Then $p \times q = 30y^7$.

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All of the polynomial operations do something to interfaces.

- Composition $p \circ q$ runs p then q in series.
- Tensor $p \otimes q$ runs p and q in parallel.
- The Or-operation $p \lor q$ runs either p or q or both in parallel.
- The bracket [p, q] runs arrangements for wiring p in q. Remember?

Arrangements

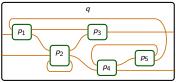
We can also formalize the notion of arrangement.

- Recall, we considered ourselves in this zoom call as an arrangement,...
- ...i.e., the way zoom lets my outputs be your inputs, and vice versa.
- Or think about cell-organs arranged in a cell or cells in a tissue.
- An arrangement is just how information passes between interfaces.

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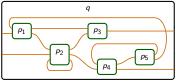
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Formally, an arrangement is a natural transformation of polynomials.

- First take all the little interfaces p_1, \ldots, p_5 and tensor them.
- An arrangement is a natural transformation $p_1 \otimes \cdots \otimes p_5 \rightarrow q$.

What's happening in this talk

Brief interlude, for meta-stuff.

- I just told you that an arrangement is a *natural transformation*.
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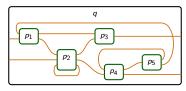
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It's about interfaces: how you manipulate them, arrange them, nest them.

- Interfaces have outputs, and inputs that can depend on them.
- They're captured as polynomials, not as functions, but as structure.
- Then we can $+, \times, \circ...$ these poly's to make new interfaces from old.
- And arrangements (and dynamics next) come with the math too.

Dynamics

Look at the arrangement again:

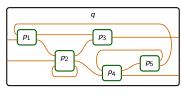


If each p_i had a dynamical system in it, then so would q.

- A dynamical system is a thing with states that evolve through time.
- It can be a system of ODEs, or just a high-level idea.
- You're in a state; this shows up as your position (or output).
- Any possible input that you get will make your state change; repeat.
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Mathematically, a dynamical system on p is $Sy^S \rightarrow p$.

- Why am I telling you this?! Because all the math looks the same.
- Both arrangements and dynamics are *natural transformations*.
- We compose them to get q's dynamics from the p_i s'.

Dynamic arrangements

This is the last math slide. Let's think about dynamics a bit more.

- If you remember a few slides ago, I was talking about operations.
- A dynamical system on p + q can switch between p-mode and q-mode.
- A dyn'l system on $p \times q$ outputs both, receives from either.
- A dyn'l system on $p \otimes q$ outputs both, receives from both.
- A dyn'l system on $p \circ q$ does a serial protocol.
- What about [p, q]? We said it "runs arrangements for p in q".

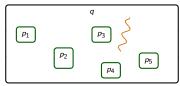
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In other words the operation [-,-] is an interface for arrangements.

- A dynamical system on $[p_1 \otimes \cdots \otimes p_5, q]$ outputs arrangements...
- ...and receives whatever flows within the system.



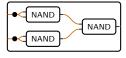
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 - Circuits, control systems, and deep learning
 - Dynamic organizational structures
 - Application to active inference
- 5 Conclusion

Digital circuits and control systems

Digital circuits and control systems fit neatly into this formalism.

- A computer is a nested arrangement of dynamical systems.
- Two transistors make up a NAND gate.
- You can get an OR gate by wiring together three NAND gates.



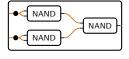
The arrangement and dynamics are very simple.

- The arrangement is fixed, unchanging, soldered in.
- The dynamics are simple: state is a function of input only.
- But with enough nesting, you get something amazing: a computer.

Digital circuits and control systems

Digital circuits and control systems fit neatly into this formalism.

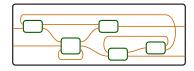
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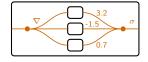
Control systems have complicated dynamics but fixed arrangement.



Deep learning

Deep learning also fits into this formalism in a different way.

- The interfaces are all the same: $\mathbb{R}y^{\mathbb{R}}$, outputting and inputting \mathbb{R} .
- And the arrangements are all very simple: activated weighted sums.

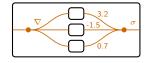


- The info flowing out of the inner boxes is the current "guesses".
- The current weighted sum is calculated and sent out as current guess.
- The info flowing into the big box is the "loss".
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But here the arrangement is dynamic!

- The loss coming in is not only sent to the little boxes,...
- ...it also updates the arrangement, the collection of weights, itself.

Dynamic organizational structures

Deep neural networks is one of four examples of a certain structure.

- B. Shapiro and I call it a *dynamic organizational structure*.
- It is a fractal-like system of arrangements that change through time.
- The arrangement decides how information flows through the system.
- And yet the flowing information can change the arrangement.
- We formalize this using category theory.

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I would guess that active inference can somehow be another one.

Articulate language to say... what?

Many people think of math as about number, but it's not.

- Each math subject is an accounting system to track certain things.
- What the work above lets you track is: dynamic arrangements.
- The math called *polynomial functors* is well-known and beloved.
- It beautifully accounts for interfaces, dynamics, and arrangements.
- It's about building up bigger systems from littler parts.
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But I think this has particular value in active inference.

- It lets us talk coherently and precisely about these things:
- ...changing interfaces, dynamics, how things communicate,...
- ...and how that communication pattern changes as info is exchanged.

Active inference as dynamic organizational structure

The main work to make active inference into an example of a DOS¹ is to:

- specify all the interfaces we will use to house free energy minimizers,
- consider how free energy minimizers arrange themselves, and
- say how these arrangements constitute a larger-scale FEM.

¹One person suggested we use the term "Multi-Scale Dynamic Organizational Structure" in order to clarify our particular brand.

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As long as all this coheres nicely (in a formal way), we'll have a DOS.

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Outline

- 1 Introduction
- 2 The current dynamic arrangement
- 3 Algebraic theory of interfaces and arrangements
- 4 Applications: Circuits, deep learning, and biology
- 5 Conclusion
 - Summary

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Applied category theory is math for tracking interlocking structures.

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- It applies in QM, CS, math, materials science, linguistics, physics...
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I'm interested in the structure of interacting dynamical systems.

- I want to account for how we interact, right here on zoom.
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I don't have specifics, but I have the accounting system.

- The cat'y of polynomial functors accounts for dynamic arrangements.
- I think it may be helpful for thinking about active inference.

Thanks! Comments and questions welcome...