

Poly: a category of remarkable abundance

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Colloquium
2021 February 04

Outline

1 Introduction

- Personal history
- Plan

2 Theory

3 Applications

4 Conclusion

My personal history with math

I've always believed I could understand self, life, and world with math.

- We generally share experience and knowledge in “natural language”.
- Is any of it inherently precluded from mathematical expression?

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- Is any of it inherently precluded from mathematical expression?

When I learned CT, I thought “this is where I can say it all.”

- It's a sublanguage of math that can talk about math.
- It's clean and principled and structural and expressive.

So I got to work trying to understand self, life, and world.

My personal history with ACT

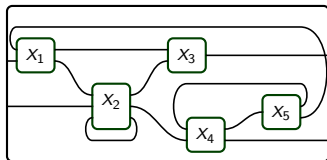
What can we say about self, life, and world?

- I first assumed everything is information and communication.
 - Pretend our minds are information-storage devices.
 - How do we communicate with each other and with reality?
 - Understand everything in terms of databases and data migration!
 - (Categories, set-valued functors, parametric right adjoints.)

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 - (Categories, set-valued functors, parametric right adjoints.)
 - But interacting processes didn't seem to fit nicely.
- So then I assumed everything is interacting dynamical systems.
 - It's machines sending each other information, all the way down.



- But should they really be wired the same way forever?

My personal history with Poly

Then one day I met **Poly** and fell in love.

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The dynamics seemed to really be all about *comonoids* in **Poly**.

- Joachim Kock pointed me to R. Garner; I found his HoTTEST talk.
- Garner explained Ahman-Uustalu’s result: “comonoids = categories”
- Garner also explained that bimodules = parametric right adjoints.

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Suddenly everything I’d been working on for 13 years came together.

- I was overwhelmed by **Poly**’s elegance and capacity for application.
- It is extremely computational and hands-on...
- ...while displaying excellent formal properties.

Toward metaphysics

I use **Poly** to help ground my thinking about self, life, and world.

- What does it mean that I can “manipulate objects”?
- How should I think about biological reproduction?
- If it’s always *now*, how do I perceive events that “unfold over time”?
- What is survival? If we change over time, what survives?

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I’m happy to talk with you about these ideas off-line.

Plan for the talk

Here's the plan for today's talk

- Theory
 - Define **Poly** and one of its monoidal structures
 - Comonoids = categories, coalgebras = copresheaves, etc
 - Monoids generalize operads, algebras = operad-algebras, etc

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Think of the talk as a calling card: [reach out if you want to discuss!](#)

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2 Theory

- $(\mathbf{Poly}, y, \triangleleft)$
- Comonoids in \mathbf{Poly}
- The framed bicategory \mathbb{P}
- Monads in \mathbb{P} generalize operads

3 Applications

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Poly for experts

What I'll call the category **Poly** has many names.

- The free completely distributive category on one object;
- The free coproduct completion of \mathbf{Set}^{op} ;
- The full subcategory of $[\mathbf{Set}, \mathbf{Set}]$ spanned by functors that preserve connected limits;
- The full subcategory of $[\mathbf{Set}, \mathbf{Set}]$ spanned by coproducts of repr'bles;

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- The full subcategory of [**Set**, **Set**] spanned by coproducts of repr'bles;
- The category of *typed sets* and colax maps between them.
 - Objects: *pairs* (S, τ) , where $S \in \mathbf{Set}$ and $\tau: S \rightarrow \mathbf{Set}$.
 - Morphisms $(S, \tau) \xrightarrow{\varphi} (S', \tau')$: *pairs* $(\varphi_1, \varphi^\#)$, where

$$\begin{array}{ccc}
 S & \xrightarrow{\varphi_1} & S' \\
 \searrow \tau & \xleftarrow{\varphi^\#} & \swarrow \tau' \\
 & \mathbf{Set} &
 \end{array}$$

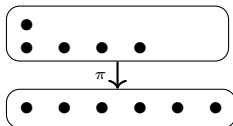
But let's make this easier.

What is a polynomial?

Algebraic

$$y^2 + 3y + 2$$

Bundle



Corolla forest

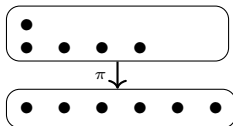


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Interpretations:

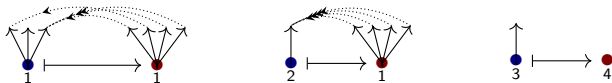
- Each corolla in p is a decision; its leaves are the options.
- Each corolla in p is a position; its leaves are directions.

What is a morphism of polynomials?

Let $p := y^3 + 2y$ and $q := y^4 + y^2 + 2$



A morphism $p \xrightarrow{\varphi} q$ delegates each p -decision to a q -decision, passing back options:



Example: how to think of a map $y^2 + y^6 \rightarrow y^{52}$.

The category of polynomials

Easiest description: **Poly** = “sums of representable functors **Set** \rightarrow **Set**”.

- For any set S , let $y^S := \mathbf{Set}(S, -)$, the functor *represented* by S .
- Def: a polynomial is a sum $p = \sum_{i \in I} y^{p[i]}$ of representable functors.
- Def: a morphism of polynomials is a natural transformation.
- In **Poly**, $+$ is coproduct and \times is product.

Notation

We said that a polynomial is a sum of representable functors

$$p \cong \sum_{i \in I} y^{p[i]}.$$

But note that $I \cong p(1)$. So we can write

$$p \cong \sum_{i \in p(1)} y^{p[i]}.$$

Composition monoidal structure (Poly, y , \triangleleft)

The composite of two polynomial functors is again polynomial.

- Let's denote the composite of p and q by $p \triangleleft q$.
- Example: if $p := y^2$, $q := y + 1$, then $p \triangleleft q \cong y^2 + 2y + 1$.
- This is a monoidal structure, but not symmetric. ($q \triangleleft p \cong y^2 + 1$)
- The identity functor y is the unit: $p \triangleleft y \cong p \cong y \triangleleft p$.

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Why the we weird symbol \triangleleft rather than \circ ?

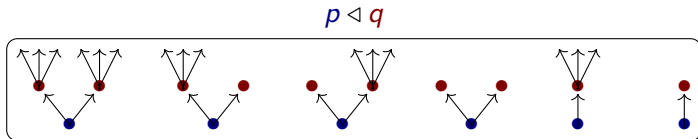
- We want to reserve \circ for morphism composition.
- The notation $p \triangleleft q$ represents trees with p under q .

Composition given by stacking trees

Suppose $p := y^2 + y$ and $q := y^3 + 1$.



Draw the composite $p \triangleleft q$ by stacking q -trees on top of p -trees:



You can also read it as q feeding into p , which is how composition works.

Comonoids in $(\mathbf{Poly}, y, \triangleleft)$

In any monoidal category $(\mathcal{M}, I, \otimes)$, one can consider comonoids.

- A comonoid is a triple (m, ϵ, δ) satisfying certain rules, where
 - $m \in \mathcal{M}$ is an object, the *carrier*,
 - $\epsilon: m \rightarrow I$ is a map, the *counit*, and
 - $\delta: m \rightarrow m \otimes m$ is a map, the *comultiplication*.

In $(\mathbf{Poly}, y, \triangleleft)$, comonoids are exactly categories!¹

¹Ahman-Uustalu. See my talk, <https://www.youtube.com/watch?v=2mWnrgPIrIA>

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- If \mathcal{C} is a category, the corresponding comonoid is

$$\mathfrak{c} := \sum_{i \in \text{Ob}(\mathcal{C})} y^{\mathfrak{c}[i]}$$

where $\mathfrak{c}[i]$ is the set of morphisms in \mathcal{C} that emanate from i .

- The counit $\epsilon: \mathfrak{c} \rightarrow y$ assigns to each object an identity.
- The comult $\delta: \mathfrak{c} \rightarrow \mathfrak{c} \triangleleft \mathfrak{c}$ assigns codomains and composites.

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Comonoid maps are “cofunctors”

In **Poly**, comonoids are categories, but their morphisms aren't functors.

- A comonoid morphism $\varphi: \mathcal{C} \rightarrow \mathcal{D}$ is called a *cofunctor*.
- It includes a **Poly** map on carriers. For each object $i \in \mathfrak{c}(1)$, we get:
 - an object $j := \varphi_1(i) \in \mathfrak{d}(1)$ and
 - for each emanating $f \in \mathfrak{d}[j]$, an emanating $\varphi_i^\sharp(f) \in \mathfrak{c}[i]$.

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Example: what is a cofunctor $\mathcal{C} \xrightarrow{\varphi} \mathbf{y}^{\mathbb{N}}$?

- It is trivial on objects. On morphisms...
- ...it assigns an emanating morphism $\varphi_i^\sharp(1)$ to each object $i \in \mathfrak{c}(1)$.

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“That’s not what you do with a category!”

- Cofunctors are kinda weird right? A whole new world to explore.
- A cofunctor $\mathcal{C} \rightarrow \mathbf{y}^{\mathbb{N}}$ is like a vector field on the category.
- This hints at applications, which are coming soon.

Bicomodules in $(\text{Poly}, y, \triangleleft)$

Given comonoids \mathcal{C}, \mathcal{D} , a $(\mathcal{C}, \mathcal{D})$ -bicomodule is another kind of map.

- It's a polynomial m , equipped with two maps

$$\mathcal{C} \triangleleft m \longleftarrow m \longrightarrow m \triangleleft \mathcal{D}$$

each cohering naturally with the comonoid structure ϵ, δ .

- I denote this $(\mathcal{C}, \mathcal{D})$ -bicomodule m like so:

$$\mathcal{C} \xleftarrow{m} \triangleleft \mathcal{D} \quad \text{or} \quad \mathcal{C} \triangleleft \xrightarrow{m} \mathcal{D}$$

- The \triangleleft 's at the ends help me remember the how the maps go.
- Maybe it looks like it's going the wrong way, but hold on.

Bicomodules are parametric right adjoints

Garner explained² that bicomodules $m \in {}_c\mathbf{Mod}_{\mathcal{D}}$, which we've denoted

$$c \leftarrow^m \triangleleft \mathcal{D}$$

can be identified with parametric right adjoint functors (prafunctors)

$$\mathcal{D}\text{-Set} \xrightarrow{M} c\text{-Set}.$$

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Prafunctors $\mathcal{C} \leftarrow \triangleleft \mathcal{D}$ generalize profunctors $\mathcal{C} \rightarrow \mathcal{D}$:

- A profunctor $\mathcal{C} \rightarrow \mathcal{D}$ is a functor $\mathcal{C} \rightarrow (\mathcal{D}\text{-Set})^{\text{op}}$
- A prafunctor $\mathcal{C} \leftarrow \triangleleft \mathcal{D}$ is a functor $\mathcal{C} \rightarrow \mathbf{Coco}((\mathcal{D}\text{-Set})^{\text{op}}) \dots$
- ...where **Coco** is the free coproduct completion.

I'll explain how to think about it concretely when we get to applications.

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The framed bicategory \mathbb{P}

Poly comonoids, cofunctors, and bicomodules form a framed bicategory \mathbb{P} .

- It's got a ton of structure, e.g. two monoidal structures, $+$, \otimes .
- Despite the last slide, it's actually not that hard to think about.

Here are some facts about ${}_e\mathbf{Mod}_{\mathcal{D}}$ for categories \mathcal{C}, \mathcal{D} .

- ${}_{\mathcal{D}}\mathbf{Mod}_0 \cong \mathcal{D}\text{-Set}$, copresheaves on \mathcal{D} .
- ${}_1\mathbf{Mod}_{\mathcal{D}} \cong \mathbf{Coco}((\mathcal{D}\text{-Set})^{\text{op}})$.
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We can think about ${}_1\mathbf{Mod}_{\mathcal{D}}$ as something like a polynomial rig in \mathcal{D} .

- If $\mathcal{D} = J$ is discrete, it's the rig of polynomials in variables $(y^j)_{j \in J}$.
- So ${}_l\mathbf{Mod}_J$ is l -many polynomials in J variables, as in Gambino-Kock.

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- So ${}_l\mathbf{Mod}_J$ is l -many polynomials in J variables, as in Gambino-Kock.
- For general \mathcal{D} , note that $y^- : \mathcal{D} \rightarrow (\mathcal{D}\text{-Set})^{\text{op}}$ is free limit completion.
- So just generalize from sums of \mathcal{D} -products to sums of \mathcal{D} -limits, e.g.

$$y^a y^a + 42 \lim(y^a \xrightarrow{f} y^c \xleftarrow{g} y^b) \in {}_1\mathbf{Mod}_{\mathcal{D}}$$

(Here, $f : a \rightarrow c$ and $g : b \rightarrow c$ are morphisms in \mathcal{D}).

Operads as monads in \mathbb{P}

In any framed bicategory, notation from \mathbb{P} , a monad $(\mathcal{C}, m, \eta, \mu)$ consists of

- An object \mathcal{C} , the *type*
- a bimodule $\mathcal{C} \xleftarrow{m} \mathcal{C}$, the *carrier*
- a 2-cell $\eta: \text{id}_{\mathcal{C}} \Rightarrow m$, the *unit*
- a 2-cell $\mu: m \circ m \Rightarrow m$, the *multiplication*
- satisfying the usual laws.

³Not quite the standard definition of operad, but one I like better: the input to a morphism is a set, rather than a list of objects. You can also talk about standard operads and generalizations within the \mathbb{P} setting; see Gambino-Kock.

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In \mathbb{P} , these generalize operads in a number of ways:

- When $\mathcal{C} \cong I$ is discrete, η^\sharp, μ^\sharp are isos, you get colored operads.³
- Relaxing discreteness of \mathcal{C} , the input to a morphism can be...
- ... a diagram, rather than a mere set, of objects.
- Relaxing “iso” condition, composites and ids can have “weird” arities.

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Grothendieck sites give \mathbb{P} -monads

Every Grothendieck site $(\mathcal{C}^{\text{op}}, J)$ has an associated monad m_J in \mathbb{P} .

- A J -sheaf is an m_J -algebra, but not all m_J -algebras are J -sheaves.
- An m_J -algebra has existence, but not necessarily uniqueness for gluing.

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To each Grothendieck top'y J , we need (m, η, μ) where $\mathcal{C} \leftarrow^m \mathcal{C}$.

- The topology J assigns to each $V \in \mathcal{C}$ a set J_V , “covering families” ...
- ... and each $F \in J_V$ is assigned a subfunctor $S_F \subseteq \mathcal{C}[V]$.
- From this data we define $m \in \mathbf{Poly}$:

$$m := \sum_{V \in \text{Ob}(\mathcal{C})} \sum_{F \in J_V} y^{S_F}.$$

The Grothendieck top'y axioms endow the bimodule and monad structure.

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An algebra structure $m \circ P \xrightarrow{h} P$ assigns a section $h_V(F, s) \in P_V$ to each V -covering family F and matching family s of sections.

$$\begin{array}{ccccc}
 \mathcal{C} & \xleftarrow{m} & \mathcal{C} & \xleftarrow{P} & 0 \\
 & & \downarrow h & & \\
 & & P & &
 \end{array}$$

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Moore machines

Definition

Given sets A, B , an (A, B) -Moore machine consists of:

- a set S , elements of which are called *states*,
- a function $r: S \rightarrow B$, called *readout*, and
- a function $u: S \times A \rightarrow S$, called *update*.

It is *initialized* if it is equipped also with

- an element $s_0 \in S$, called the *initial state*.

We refer to A as the *input set*, B as the *output set*, and (A, B) as the *interface* of the Moore machine.



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Dynamics: an (A, B) -Moore machine (S, u, r, s_0) is a “stream transducer”:

- Given a list/stream $[a_0, a_1, \dots]$ of A 's...
- let $s_{n+1} := u(s_n, a_n)$ and $b_n := r(s_n)$.
- We thus have obtained a list/stream $[b_0, b_1, \dots]$ of B 's.

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This all works because Sy^S is a comonoid.

Moore machines as maps in Poly

We can understand Moore machines $A\text{-}\boxed{S}\text{-}B$ in terms of polynomials.

- An uninitialized Moore machine $r: S \rightarrow B$ and $u: S \times A \rightarrow S$ is:
 - A map of polynomials $Sy^S \rightarrow By^A$.
 - φ_1 is the readout and φ^\sharp is the update.
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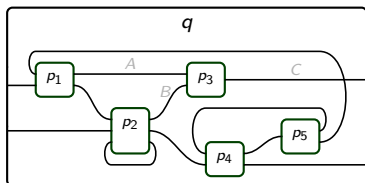
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Even more general: $Sy^S \dashv \rightarrow \mathcal{C}$ for any category \mathcal{C} .

- For example, a map $Sy^S \rightarrow p$ can be identified with a cofunctor...
- ... $Sy^S \dashv \rightarrow \mathbf{Cofree}_{p,}$, where \mathbf{Cofree}_p is the *cofree comonoid* on p .

Wiring diagrams

We can have a bunch of dynamical systems interacting in an open system.



(φ)

Each box represents a monomial, e.g. $p_3 = Cy^{AB} \in \mathbf{Poly}$.

- The whole interaction, p_1 sending outputs to p_2 and p_3 , etc....
- ... is captured by a map of polynomials $\varphi: p_1 \otimes \cdots \otimes p_5 \rightarrow q$.⁴
 - Given the positions (outputs) of each p_i , we get an output of q ...
 - ... and when given an input of q , each p_i gets an input.

⁴Here $p \otimes p'$ just multiplies positions and directions,

$$p \otimes p' = \sum_{(i,i') \in p(1) \times p'(1)} y^{p[i] \times p'[i']}$$

More general interaction



This whole picture represents one morphism in **Poly**.

- Let's suppose the company chooses who it wires to; this is its *mode*.
- Then both suppliers have interface Wy for $W \in \mathbf{Set}$.
- Company interface is $2y^W$: two modes, each of which is W -input.
- The outer box is just y , i.e. a closed system.

So the picture represents a map $Wy \otimes Wy \otimes 2y^W \rightarrow y$.

- That's a map $2W^2y^W \rightarrow y$.
- Equivalently, it's a function $2w^2 \rightarrow W$. Take it to be evaluation.
- In other words, the company's choice determines which $w \in W$ it receives.

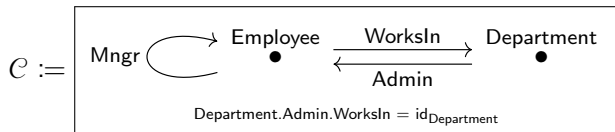
Other sorts of dynamical systems

Dynamical systems are usually defined as actions of a monoid T .

- Discrete: \mathbb{N} , reversible: \mathbb{Z} , real-time: \mathbb{R} .
- If T is a monoid and S is a set, a T -action on S is equivalently...
- ... a map $S \times T \rightarrow S$ satisfying two laws, which is equivalently...
- ... a cofunctor $Sy^S \dashv y^T$, as in our general definition above.

Categorical databases

One view on databases is that they're basically just copresheaves.

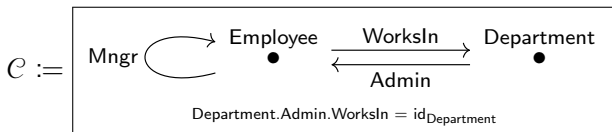


A functor $I: c \rightarrow \mathbf{Set}$ (i.e. $c \leftarrow \overset{I}{\triangleleft} \triangleleft 0$) can be represented as follows:

Employee	WorksIn	Mngr	Department	Admin
♥	P9	♥	bLue	T****
T****	bLue	orca	P9	♥
orca	bLue	orca		

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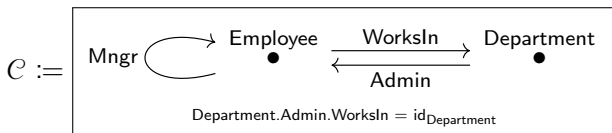
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But where's the data? What are the employees names, etc.?

Categorical databases

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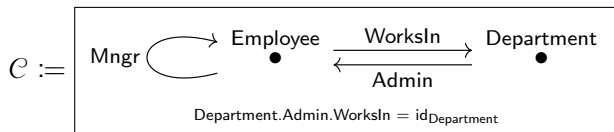
More realistically, data should include *attributes* and look like this:

Employee	FName	WorksIn	Mngr
1	Alan	101	2
2	Ruth	101	2
3	Sara	102	3

Department	DName	Secr
101	Sales	1
102	IT	3

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- Assign a copresheaf $T: \text{Ob}(\mathcal{C}) \rightarrow \mathbf{Set}$, e.g. $T(\text{Employee}) = \text{String}$.
- Using the canonical cofunctor $\mathcal{C} \nrightarrow \text{Ob}(\mathcal{C})$, attributes are given by α :

$$\begin{array}{ccc}
 \mathcal{C} & \xleftarrow{I} & 0 \\
 \downarrow & & \downarrow \alpha \\
 \text{Ob}(\mathcal{C}) & \xleftarrow{T} & 0
 \end{array}$$

Data migration

The framed bicategory structure of \mathbb{P} is very useful in databases.

- We hinted at this in the last slide, adding attributes via a cofunctor.
- But so-called *data migration functors* are precisely prafunctors.

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A prafunctor $\mathcal{C} \xleftarrow{P} \mathcal{D}$ in ${}_e\mathbf{Mod}_{\mathcal{D}}$ can be understood as follows.

- First, it's a functor $\mathcal{C} \rightarrow \mathbf{1Mod}_{\mathcal{D}}$, so what's that?
- We said it's a formal coproduct of formal limits in \mathcal{D} .
- A formal limit in \mathcal{D} is called a *conjunctive query* on \mathcal{D} .
- So a prafunctor $\mathbf{1} \xleftarrow{Q} \mathcal{D}$ is a disjoint union of conjunctive queries.
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Example: if $\mathcal{D} = \left(\begin{array}{ccccc} \text{City} & \text{in} & \text{State} & \text{in} & \text{County} \\ \bullet & \rightarrow & \bullet & \leftarrow & \bullet \end{array} \right)$, a duc-query might be...

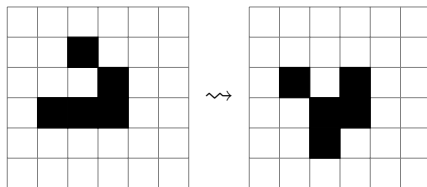
$$(\text{City} \times_{\text{State}} \text{City}) + (\text{City} \times_{\text{State}} \text{County}) + (\text{County} \times_{\text{State}} \text{County})$$

A general bimodule $P \in {}_e\mathbf{Mod}_{\mathcal{D}}$ is a \mathcal{C} -indexed duc-query on \mathcal{D} .

Cellular automata

The last thing we'll discuss today is cellular automata.

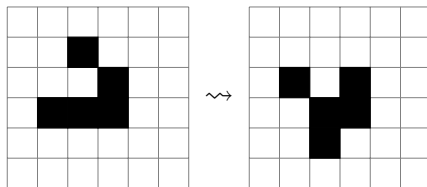
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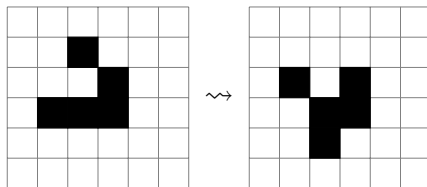


- GoL takes place on a grid, a set $V := \mathbb{Z} \times \mathbb{Z}$ of “squares”
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- Each square has neighbors; think of the grid as a graph $A \Rightarrow V$.
- Each square can be in one of two states: white or black.
- The state at any square is updated according to a formula, e.g.
 - If the square is \blacksquare and has 2 or 3 \blacksquare neighbors, it stays \blacksquare .*
 - If the square is \square and has 3 \blacksquare neighbors, it turns \blacksquare .*
 - Otherwise it turns / remains \square .*

Cellular automata as algebras in \mathbb{P}

How do we encode this in \mathbb{P} ?

- We encode the graph $A \rightrightarrows V$ as a prafunctor $Vy \xleftarrow{g} \triangleleft Vy$
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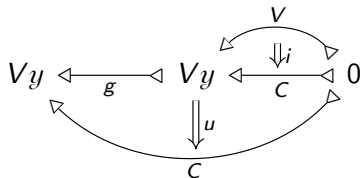
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$$\begin{array}{c}
 Vy \xleftarrow{g} \triangleleft Vy \xleftarrow{C} \triangleleft 0 \\
 \quad \quad \quad \downarrow u \\
 \quad \quad \quad C
 \end{array}$$

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- And we encode the initial color setup as a point $V \rightarrow C$:



From here you can iteratively “run” the cellular automaton.

Outline

- 1 Introduction
- 2 Theory
- 3 Applications
- 4 Conclusion**
 - Future outlook
 - Summary

Future work

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- What activities are necessary for survival?
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- If we make technological progress, people will take it up.
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It is as promising a direction as anything I know of.

Workshop on polynomial functors in March

Joachim Kock and I are organizing a **Poly** workshop.⁵

- Dates: March 15 – 19
- Speakers:

Thorsten Altenkirch

Michael Batanin

Marcelo Fiore

David Gepner

Rune Haugseng

André Joyal

Kristina Sojakova

Ross Street

Steve Awodey

Bryce Clarke

Richard Garner

Helle Hvid Hansen

Bart Jacobs

Fredrik Nordvall-Forsberg

David Spivak

Tarmo Uustalu

⁵<https://topos.site/p-func-2021-workshop/>

Future Topos Institute colloquia

This is the first of a series of Topos Institute colloquia.

- More info here: <https://topos.site/seminars/>
- Next few speakers
 - Richard Garner
 - Gunnar Carlsson
 - Samson Abramsky

Please join us!

Summary

Poly is a category of remarkable abundance.

- It's completely combinatorial.
 - Calculations are concrete.
 - Much is already familiar, e.g. $(y + 1)^2 \cong y^2 + 2y + 1$.
- It's theoretically beautiful.
 - Comonoids are categories, coalgebras are copresheaves.
 - Monoids generalize operads.
- It's got a wide scope of applications.
 - Databases and data migration.
 - Dynamical systems and cellular automata.

A single setting for pursuing real philosophical and technological progress.

Thanks! Questions and comments welcome.